



15w

**PATENT**  
**Customer No. 22,852**  
**Attorney Docket No. 05725.1329-00**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Grégory PLOS

Application No.: 10/735,748

Filed: December 16, 2003

For: DYE COMPOSITION FOR KERATIN FIBERS, COMPRISING AT LEAST ONE COMPOUND CHOSEN FROM ORTHO- AND  $\alpha$ -DIALDEHYDE COMPOUNDS AND AT LEAST ONE SULPHUR COMPOUND

)  
)  
) Group Art Unit: 1751  
)  
) Examiner: Unassigned  
)  
) Confirmation No.: 3358  
)  
)  
)  
)  
)  
)  
)  
)  
)

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents on the attached listing. To the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patents and U.S. patent application publications are not enclosed.

Applicant respectfully requests that the Examiner consider the listed documents and

indicate that they were considered by making appropriate notations on the attached form.

With respect to the non-English language documents, Applicant submits the following remarks:

1. **DE 197 31 400 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

2. **DE 198 10 887 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

3. **DE 198 20 894 A1** - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.

4. **FR 2 065 465** - This document is believed to be related to U.S. Patent No. 3,781,418.

5. **FR 2 400 357** - This document is believed to be related to U.S. Patent No. 4,148,329.

6. **FR 2 586 913** - This document is believed to be related to U.S. Patent No. 4,823,985.

7. **JP 5-39211** - This document is believed to be related to U.S. Patent No. 5,419,895.

8. **JP 11-158049** - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.

9. **JP 11-217319** - An abstract of the disclosure of this document can be found in the English language abstract submitted herewith.

10. **JP 11-310521** - This document is believed to be related to U.S. Patent No. 6,106,579.

Applicant has enclosed a copy of the priority application's French Search Report for the Examiner's consideration.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

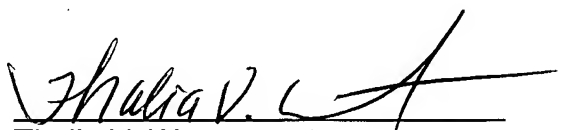
**Attorney Docket No. 05725.1329-00**  
**Application No. 10/735,748**

If there is any fee due in connection with the filing of this Statement, please  
charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

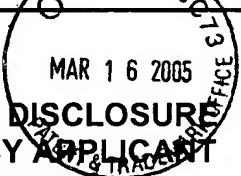
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

A handwritten signature in black ink, appearing to read "Thalia V. Warnement", written over a horizontal line.

Thalia V. Warnement  
Reg. No. 39,064

Date: March 16, 2005

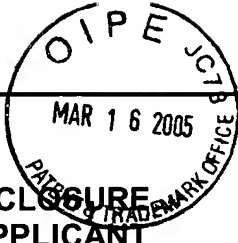
Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				Application Number	10/735,748
		Filing Date	December 16, 2003		
		First Named Inventor	Grégory PLOS		
		Art Unit	1751		
		Examiner Name	Unassigned		
Sheet	1	of	2	Attorney Docket Number	05725.1329-00000

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US-2,528,378	Oct. 31, 1950	Mannheimer	
	2	US-2,781,354	Feb. 12, 1957	Mannheimer	
	3	US-3,781,418	Dec. 25, 1973	Pomot et al.	
	4	US-3,871,818	Mar. 18, 1975	Kinney et al.	
	5	US-3,904,357	Sep. 9, 1975	Kinney et al.	
	6	US-4,148,329	Apr. 10, 1979	Jaskowski	
	7	US-4,823,985	Apr. 25, 1989	Grollier et al.	
	8	US-5,419,895	May 30, 1995	Kubo et al.	
	9	US-6,106,579	Aug. 22, 2000	Kunz et al.	

**Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004**

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>8</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	10	DE 197 31 400 A1	Jan. 28, 1999	Möller et al.		Abstract
	11	DE 198 10 887 A1	Sep. 16, 1999	Höffkes et al.		Abstract
	12	DE 198 20 894 A1	Nov. 11, 1999	Czigler et al.		Abstract
	13	FR 2 065 465	Jul. 30, 1971	Pomot et al.		No
	14	FR 2 400 357	Mar. 16, 1979	Jaskowski		No
	15	FR 2 586 913	Mar. 13, 1987	Grollier		No
	16	JP 5-39211	Feb. 19, 1993			No
	17	JP 11-158049	Jun. 15, 1999	Oaki et al.		Abstract
	18	JP 11-217319	Aug. 10, 1999	Hiroyuki		Abstract
	19	JP 11-310521	Nov. 9, 1999			No

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>8</sup>
	20	M.R. Porter, BSc, PhD. CChem, MRSC, "Handbook of Surfactants," Blackie & Sone Ltd., Glasgow and London, 1991, pp. 116-178.	
	21	English language Derwent Abstract of DE 197 31 400 A1, Jan. 28, 1999.	
	22	English language Derwent Abstract of DE 198 10 998 A1, Sep. 16, 1999.	
	23	English language Derwent Abstract of DE 198 20 894 A1, Nov. 11, 1999.	



Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

Application Number	10/735,748
Filing Date	December 16, 2003
First Named Inventor	Grégory PLOS
Art Unit	1751
Examiner Name	Unassigned
Attorney Docket Number	05725.1329-00000

Sheet 2 of 2

24	English language abstract of JP 11-158049, Jun. 15, 1999.	
25	English language abstract of JP 11-217319, Aug. 10, 1999.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--